

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

PRD-0039-USA-NP

First Inventor

BATTISTA et al

Title

HYDROXY ALKYL SUBSTITUTED 1,3,8-  
TRIAZASPIRO[4.5]DECAN-4-ONE DERIVATIVES USEFUL  
FOR THE TREATMENT OF ORL-1 RECEPTOR MEDIATED  
DISORDERS

(only for new nonprovisional applications under 37 CFR  
1.53(b))

Express Mail Label No.

EV138491275US

## APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application  
contents.

ADDRESS TO: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
3. ☒ Specification [Total Pages 249]  
(Preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 USC 113) [Total Sheets ]
5. Oath or Declaration [Total Pages 5]
  - a. ☒ Unexecuted (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence  
Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement  
(IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certifications under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent.
17. ☐ Other

6. ☐ Application Data Sheet. See 37 CFR 1.76

18. ☐ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a  
preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No.: , filed  
Prior application information: Examiner Group Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an  
oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying  
continuation or divisional application and is hereby incorporated by reference. The incorporation can only be  
relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 000027777 or ☐ Correspondence Address below

Name: Philip S. Johnson, Esq.  
Address: Johnson & Johnson  
One Johnson & Johnson Plaza  
New Brunswick, NJ 08933-7003 USA

## 20. TELEPHONE CONTACT

Pl ase direct all telephone calls or telefaxes to MARY A. APPOLLINA at:  
Telephone: (732) 524-3742 Fax: (732) 524-2808

## 21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME MARY A. APPOLLINA Reg. No. 34087

SIGNATURE

*Mary A. Appollina*

DATE

September 5, 2003

17858 U.S. PTO  
10/656934



090503

<b>FEE TRANSMITTAL</b>	<i>Complete if Known</i>	
	Application Number	NOT YET ASSIGNED
	Filing Date	August 21, 2003
	First Named Inventor	BATTISTA et al
	Group Art Unit	NOT YET ASSIGNED
	Examiner Name	NOT YET ASSIGNED
	Attorney Docket Number	PRD-0039-USA-NP

### FEE CALCULATION

#### CLAIMS AS FILED

(1)	(2)	(3)	(4)	(5)
FOR:	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$750.00
TOTAL CLAIMS	21 - 20 =	1	x 18.00	\$ 18.00
INDEPENDENT CLAIMS	4 - 3 =	1	x 84.00	\$ 84.00
MULTIPLE DEPENDENT CLAIMS	<input type="checkbox"/>	N/A	\$280.00	
			<b>TOTAL FEES</b>	<b>\$ 852.00</b>

### METHOD OF PAYMENT

- ☒ Please charge Deposit Account No. 10-0750/PRD-0039-USA-NP/MAA in the amount of \$852.00. Three copies of this sheet are enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 10-0750/PRD-0039-USA-NP/MAA. Three copies of this sheet are enclosed.

<b>SUBMITTED BY:</b>		<i>Complete (if applicable)</i>
Typed or Printed Name	MARY A. APPOLLINA	Reg. No. 34,087
Signature	<i>Mary A. Appollina</i>	Deposit Account No. 10-0750
	Date: September 5, 2003	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: BATTISTA et al

For : HYDROXY ALKYL SUBSTITUTED 1,3,8-  
TRIAZASPIRO[4.5]DECAN-4-ONE DERIVATIVES USEFUL FOR  
THE TREATMENT OF ORL-1 RECEPTOR MEDIATED DISORDERS

Express Mail Certificate

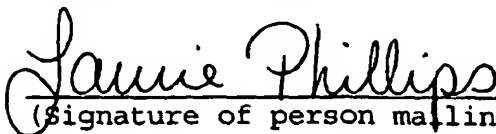
"Express Mail" mailing number: EV138491275US

Date of Deposit: September 5, 2003

I hereby certify that this complete application, including specification pages, claims, unexecuted Declaration and Power of Attorney, and Information Disclosure Statements and its references, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

LAURIE PHILLIPS

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)